

SETTLEMENT COMPLIANCE AND ENFORCEMENT CHART FOR DISCOS AND ELIGIBLE CUSTOMERS

Settlement and Payment Actions	Participants Self Compliance Period								Beginning of Enforcement Related to Payment					Resolution of Complaints or Errors in F.S Statements		
	Dispatch Preliminary Settlement Statement (PSS)	Notification of Error or Discrepancy in PSS (NoEPSS)	Notification of Participants of Valid Issues raised in NoEPSS	Dispatch Final Settlement Statement (FSS)	Issuance of invoice to relevant Participants for Service and Correction Charges.(II)	Issuance Credit Advice to relevant Participants for Service and Correction Payments	Payments into the Account of the Payment Agent by Market Participant in Accordance to the Invoice (PI)	First Payment Tranche to Market Participants who received letters of Credit	Reminder for owing Participants to Pay (RPP)	Security Cover Call Date (SCC)	Notice of Insufficient Payment to Participant (NoIPP)	Second Payment Tranche to Market Participants who received letters of Credit	Notice of Event of Default (NED)	Send Complaints or Dispute on the Final Settlement Statements (RcCFSS)	Resolve Complaints or Dispute on the Final Settlement Statements (RoCFSS)	MO becomes aware of error and resolves error in the Final Settlement Statements (RcCFSS)
Responsibility	MO	Participant	MO	MO	MO	MO	Participant	Participant	MO	MO	MO	Participant	MO	Participant	MO	MO
MR Section	MR. 28.1.1	MR. 28.1.3	MR. 28.1.4	MR. 28.2	MR 29.1.1	MR 29.1.1	MR 29.3.6	MR 29.3	MR 29.4.2	MR 29.4.3	MR 45.3.1.c	MR 29.3	MR 45.3.1.c	MR 28.3.1	MR 28.3.2	MR 28.3.4
MR Deadline	10BD from BM	5BD from PSS	5BD from DPSS	20 BD from BM	15 BD from FSS	15 BD from FSS	2pm, 5 BD from II	1 BD from PI	1 BD from PI	1 BD from RPP	3 D from SCC	2 BD from NoIPP	2 BD from NoIPP	60 D from FSS	15 BD from RcCFSS	60 D from FSS
September-19	Thu, Oct 10, 19	Thu, Oct 17, 19	Thu, Oct 24, 19	Mon, Oct 28, 19	Mon, Oct 28, 19	Mon, Oct 28, 19	Mon, Nov 4, 19	Tue, Nov 5, 19	Tue, Nov 5, 19	Wed, Nov 6, 19	Sat, Nov 9, 19	Wed, Nov 13, 19	Wed, Nov 13, 19	Fri, Dec 27, 19	Fri, Jan 17, 20	Fri, Dec 27, 19
October-19	Fri, Nov 8, 19	Fri, Nov 15, 19	Fri, Nov 22, 19	Tue, Nov 26, 19	Tue, Nov 26, 19	Tue, Nov 26, 19	Tue, Dec 3, 19	Wed, Dec 4, 19	Wed, Dec 4, 19	Thu, Dec 5, 19	Sun, Dec 8, 19	Thu, Dec 12, 19	Thu, Dec 12, 19	Sat, Jan 25, 20	Sat, Feb 15, 20	Sat, Jan 25, 20
November-19	Tue, Dec 10, 19	Tue, Dec 17, 19	Tue, Dec 24, 19	Fri, Dec 27, 19	Fri, Dec 27, 19	Fri, Dec 27, 19	Fri, Jan 3, 20	Mon, Jan 6, 20	Mon, Jan 6, 20	Tue, Jan 7, 20	Fri, Jan 10, 20	Mon, Jan 13, 20	Mon, Jan 13, 20	Tue, Feb 25, 20	Tue, Mar 17, 20	Tue, Feb 25, 20
December-19	Fri, Jan 10, 20	Fri, Jan 17, 20	Fri, Jan 24, 20	Tue, Jan 28, 20	Tue, Jan 28, 20	Tue, Jan 28, 20	Tue, Feb 4, 20	Wed, Feb 5, 20	Wed, Feb 5, 20	Thu, Feb 6, 20	Sun, Feb 9, 20	Thu, Feb 13, 20	Thu, Feb 13, 20	Sat, Mar 28, 20	Sat, Apr 18, 20	Sat, Mar 28, 20
January-20	Mon, Feb 10, 20	Mon, Feb 17, 20	Mon, Feb 24, 20	Fri, Feb 28, 20	Fri, Feb 28, 20	Fri, Feb 28, 20	Fri, Mar 6, 20	Mon, Mar 9, 20	Mon, Mar 9, 20	Tue, Mar 10, 20	Fri, Mar 13, 20	Tue, Mar 17, 20	Tue, Mar 17, 20	Tue, Apr 28, 20	Tue, May 19, 20	Tue, Apr 28, 20
February-20	Tue, Mar 10, 20	Tue, Mar 17, 20	Tue, Mar 24, 20	Fri, Mar 27, 20	Fri, Mar 27, 20	Fri, Mar 27, 20	Fri, Apr 3, 20	Mon, Apr 6, 20	Mon, Apr 6, 20	Tue, Apr 7, 20	Fri, Apr 10, 20	Tue, Apr 14, 20	Tue, Apr 14, 20	Tue, May 26, 20	Tue, Jun 16, 20	Tue, May 26, 20
March-20	Fri, Apr 10, 20	Fri, Apr 17, 20	Fri, Apr 24, 20	Tue, Apr 28, 20	Tue, Apr 28, 20	Tue, Apr 28, 20	Tue, May 5, 20	Wed, May 6, 20	Wed, May 6, 20	Thu, May 7, 20	Sun, May 10, 20	Thu, May 14, 20	Thu, May 14, 20	Sat, Jun 27, 20	Sat, Jul 18, 20	Sat, Jun 27, 20
April-20	Fri, May 8, 20	Fri, May 15, 20	Fri, May 22, 20	Tue, May 26, 20	Tue, May 26, 20	Tue, May 26, 20	Tue, Jun 2, 20	Wed, Jun 3, 20	Wed, Jun 3, 20	Thu, Jun 4, 20	Sun, Jun 7, 20	Thu, Jun 11, 20	Thu, Jun 11, 20	Sat, Jul 25, 20	Sat, Aug 15, 20	Sat, Jul 25, 20
May-20	Wed, Jun 10, 20	Wed, Jun 17, 20	Wed, Jun 24, 20	Fri, Jun 26, 20	Fri, Jun 26, 20	Fri, Jun 26, 20	Fri, Jul 3, 20	Mon, Jul 6, 20	Mon, Jul 6, 20	Tue, Jul 7, 20	Fri, Jul 10, 20	Tue, Jul 14, 20	Tue, Jul 14, 20	Tue, Aug 25, 20	Tue, Sep 15, 20	Tue, Aug 25, 20
June-20	Fri, Jul 10, 20	Fri, Jul 17, 20	Fri, Jul 24, 20	Tue, Jul 28, 20	Tue, Jul 28, 20	Tue, Jul 28, 20	Tue, Aug 4, 20	Wed, Aug 5, 20	Wed, Aug 5, 20	Thu, Aug 6, 20	Sun, Aug 9, 20	Thu, Aug 13, 20	Thu, Aug 13, 20	Sat, Sep 26, 20	Sat, Oct 17, 20	Sat, Sep 26, 20
July-20	Mon, Aug 10, 20	Mon, Aug 17, 20	Mon, Aug 24, 20	Fri, Aug 28, 20	Fri, Aug 28, 20	Fri, Aug 28, 20	Fri, Sep 4, 20	Mon, Sep 7, 20	Mon, Sep 7, 20	Tue, Sep 8, 20	Fri, Sep 11, 20	Tue, Sep 15, 20	Tue, Sep 15, 20	Tue, Oct 27, 20	Tue, Nov 17, 20	Tue, Oct 27, 20
August-20	Thu, Sep 10, 20	Thu, Sep 17, 20	Thu, Sep 24, 20	Mon, Sep 28, 20	Mon, Sep 28, 20	Mon, Sep 28, 20	Mon, Oct 5, 20	Tue, Oct 6, 20	Tue, Oct 6, 20	Wed, Oct 7, 20	Sat, Oct 10, 20	Wed, Oct 14, 20	Wed, Oct 14, 20	Fri, Nov 27, 20	Fri, Dec 18, 20	Fri, Nov 27, 20
September-20	Fri, Oct 9, 20	Fri, Oct 16, 20	Fri, Oct 23, 20	Tue, Oct 27, 20	Tue, Oct 27, 20	Tue, Oct 27, 20	Tue, Nov 3, 20	Wed, Nov 4, 20	Wed, Nov 4, 20	Thu, Nov 5, 20	Sun, Nov 8, 20	Thu, Nov 12, 20	Thu, Nov 12, 20	Sat, Dec 26, 20	Sat, Jan 16, 21	Sat, Dec 26, 20

S/N	Acronym	Definition
1	FSS	Final Settlement Statement
2	II	Issuance of invoice to relevant Participants for Service and Correction Charges
3	MO	Market Operator
4	MR	Market Rules
5	NED	Notice of Event of Default
6	NIISO	Notice of Intent to Issue a Suspension Order
7	NIITO	Notice of Intent to Issue a Termination Order
8	NoEPSS	Notification of Error or Discrepancy in PSS
9	NoIPP	Notice of Insufficient Payment to Participant
10	PI	Payments into the Account of the Payment Agent by Market Participant in Accordance to the Invoice (PI)
11	PSS	Preliminary Settlement Statement
12	RcCFSS	Receive Complaints or Dispute on the Final Settlement Statements
13	RoCFSS	Resolve Complaints or Dispute on the Final Settlement Statements
14	RPP	Reminder for owing Participants to Pay
15	S(D)O	Suspension and Disconnection Order
16	SCC	Security Cover Call
17	SO	System Operator

	Full Enforcement Cycle							
Settlement and Payment Actions	Notice of Insufficient Payment to Participant (NoIPP)	Notice of Event of Default (NED)	Notice of Intent to Issue a Suspension Order (NIISO)	Hearing on NIISO	Suspension and Disconnection Order S(D)O	Notice of Intent to Issue a Termination Order (NIITO)	Hearing on NIITO	Termination and Disconnection Order S(D)O
Responsibility	MO	MO	MO	MO	MO	MO	MO	MO
MR Section	MR 45.3.1.c	MR 45.3.1.c	MR 45.3.7	MR 45.3.8	MR 45.3.9, MR 45.3.10, MR 45.3.12, MR 45.3.13	MR 45.4.1	MR 45.4.3	MR 45.4.1, MR 45.4.2, MR 45.4.5, MR 45.4.6, MR 45.4.7, MR 45.4.9
MR Deadline	3 D from SCC	2 BD from NoIPP	5 D from NED	5 D from NIISO	8 D from NIISO	30 BD from S(D)O	7 D from NIITO	10 D from NIISO
September-19	Sat, Nov 9, 19	Wed, Nov 13, 19	Mon, Nov 18, 19	Sat, Nov 23, 19	Tue, Nov 26, 19	Tue, Jan 7, 20	Tue, Jan 14, 20	Wed, Jan 15, 20
October-19	Sun, Dec 8, 19	Thu, Dec 12, 19	Tue, Dec 17, 19	Sun, Dec 22, 19	Wed, Dec 25, 19	Wed, Feb 5, 20	Wed, Feb 12, 20	Thu, Feb 13, 20
November-19	Fri, Jan 10, 20	Mon, Jan 13, 20	Sat, Jan 18, 20	Thu, Jan 23, 20	Sun, Jan 26, 20	Sun, Mar 8, 20	Sun, Mar 15, 20	Mon, Mar 16, 20
December-19	Sun, Feb 9, 20	Thu, Feb 13, 20	Tue, Feb 18, 20	Sun, Feb 23, 20	Wed, Feb 26, 20	Wed, Apr 8, 20	Wed, Apr 15, 20	Thu, Apr 16, 20
January-20	Fri, Mar 13, 20	Tue, Mar 17, 20	Sun, Mar 22, 20	Fri, Mar 27, 20	Mon, Mar 30, 20	Mon, May 11, 20	Mon, May 18, 20	Tue, May 19, 20
February-20	Fri, Apr 10, 20	Tue, Apr 14, 20	Sun, Apr 19, 20	Fri, Apr 24, 20	Mon, Apr 27, 20	Mon, Jun 8, 20	Mon, Jun 15, 20	Tue, Jun 16, 20
March-20	Sun, May 10, 20	Thu, May 14, 20	Tue, May 19, 20	Sun, May 24, 20	Wed, May 27, 20	Wed, Jul 8, 20	Wed, Jul 15, 20	Thu, Jul 16, 20
April-20	Sun, Jun 7, 20	Thu, Jun 11, 20	Tue, Jun 16, 20	Sun, Jun 21, 20	Wed, Jun 24, 20	Wed, Aug 5, 20	Wed, Aug 12, 20	Thu, Aug 13, 20
May-20	Fri, Jul 10, 20	Tue, Jul 14, 20	Sun, Jul 19, 20	Fri, Jul 24, 20	Mon, Jul 27, 20	Mon, Sep 7, 20	Mon, Sep 14, 20	Tue, Sep 15, 20
June-20	Sun, Aug 9, 20	Thu, Aug 13, 20	Tue, Aug 18, 20	Sun, Aug 23, 20	Wed, Aug 26, 20	Wed, Oct 7, 20	Wed, Oct 14, 20	Thu, Oct 15, 20
July-20	Fri, Sep 11, 20	Tue, Sep 15, 20	Sun, Sep 20, 20	Fri, Sep 25, 20	Mon, Sep 28, 20	Mon, Nov 9, 20	Mon, Nov 16, 20	Tue, Nov 17, 20
August-20	Sat, Oct 10, 20	Wed, Oct 14, 20	Mon, Oct 19, 20	Sat, Oct 24, 20	Tue, Oct 27, 20	Tue, Dec 8, 20	Tue, Dec 15, 20	Wed, Dec 16, 20
September-20	Sun, Nov 8, 20	Thu, Nov 12, 20	Tue, Nov 17, 20	Sun, Nov 22, 20	Wed, Nov 25, 20	Wed, Jan 6, 21	Wed, Jan 13, 21	Thu, Jan 14, 21